



According to James Egar, public defender for Monterey County...



"In my 30-plus years of criminal law, more than anything else, substance abuse is driving the criminal justice system," Egar says. "Their crime may not have been a drug crime, but that's what's driving their life."

onterey County Weekly, March 20-26, 200





## Overview

- Neurobiology
- Risk factors and development
- Epidemiology Diagnosis
- Treatment and course

# Key concepts

- Reinforcement
- Behavioral regulation
- Salience
- Conditioned
- response
- SensitizationHedonic regulationNeuroadaptation
- AllostasisAnticipation

Neurobiology of intoxication & addiction

Why do people take drugs?

Because we want to

#### Desire

- Why do we want?
- What do we want?
- How do we want?

Why do we want?

- Because if we didn't <u>want</u> anything, we wouldn't <u>do</u> anything (and then we would dehydrate, starve, get eaten, etc).
- ∴ motivation (desire) is essential to survival of the species.

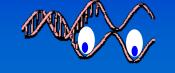
## What do we want?

- Motivation developed through natural selection (evolution)
- We are motivated for whatever behavior maximizes survival of offspring.
- Includes safety, thirst, affiliation, sex, rock & roll, chocolate, lattes, etc.



# In other words.....

• DNA has found a way to make us do its bidding!



# OK, but HOW?

- Positive and negative feeling (affect) states provide reinforcement
- Reinforcement ⇔ after doing it, you are more likely to do it again - Positive - Negative - Punishment
- Feelings (affects) are <u>not</u> necessarily conscious (in fact, most are not)

# Affect and Reinforcement

- A deficit state (e.g. hunger) is
   experienced as painful, unpleasant
   drives behavior to relieve it
- Relieving a deficit state\* is experienced as pleasant, enjoyable
- \* (e.g. a Big Mac, or angel hair pasta with olive oil, garlic, capers, pine nuts, sun dried tomatoes, and wild mushrooms)

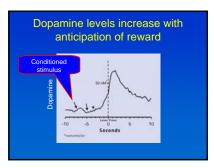
## Incentive salience

- Anticipation of reinforcement induces behavior intended to result in the desired event (seeking)
   Artisication is a configurated
- Anticipation is a conditioned response and is itself reinforcing





# Incentive salience Preoccupation is the cognitive correlate of anticipation or incentive salience Beeking the reinforcement is the behavioral correlate Image: Section of the section of the







#### Affect and Reinforcement

 Certain things are <u>inherently</u> reinforcing, e.g. sex, sweets, social affiliation, blues music, etc.



A neutral state is non-motivating

# Reinforcement

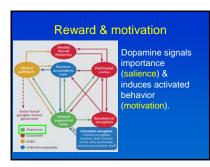


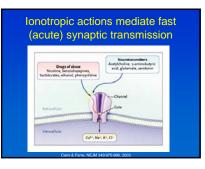


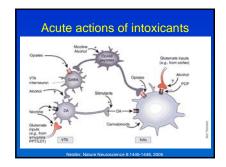


A key circuit involves fibers from the ventral tegmental area (VTA) that release dopamine (DA) in the Nucleus Accumbens (NAc).

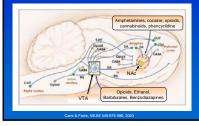








# Acute actions of intoxicants



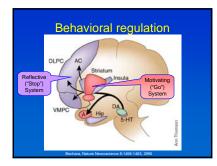
# Getting' high with Darwin

"By stimulating these reward centers, [intoxicants] create a signal in the brain that indicates, falsely, the arrival of a huge fitness benefit"





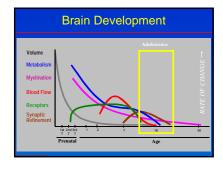
GABA/Glutamate systems are also critical in regulation of, and learning induced by, motivationally salient events

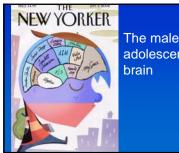




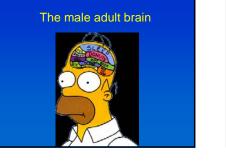


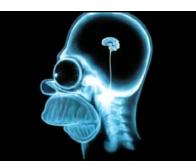






The male adolescent





## What is addiction?

Addiction is wanting the wrong thing very, very badly.

It is a disorder of desire.

#### Processes involved in substance use and addiction

- Reinforcement
- PositiveNegative
- Learning and memory - Classic conditioning - Operant conditioning
- Incentive salience
- Stress response system

## Progression of addiction

First the (man) takes the drink Then the drink takes the drink Then the drink takes the (man)

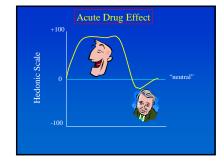
"At first, I drank to feel good" "Then, I drank to feel normal" "Now, I drink to die"

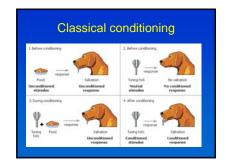
# Progression of addiction

Functional homeostasis Use Binge intoxication Frequent heavy use

# Progression of addiction

Frequent heavy use ↓ Neural and behavioral adaptation ↓ Deteriorating function ↓ Allostasis (sick homeostasis)





# **Classical conditioning**

Craving (incentive salience) invoked by conditioned cues
 - Smells - Paraphemalia
 - Music - Situations

– Affect states

• Withdrawal states also are conditioned responses

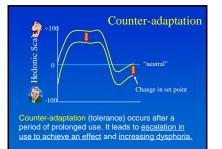


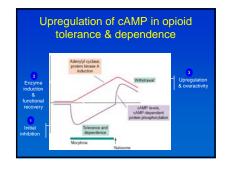
## **Operant conditioning**

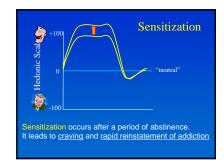
- Spontaneous action (e.g. lever pressing) results in reward (positive reinforcement) or removal of noxious experience

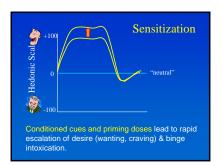
(negative reinforcement)

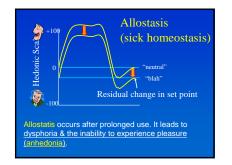
 Shapes behavior through learning & memory

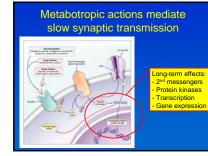












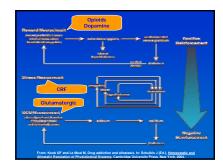
## Molecular/cellular adaptations

- Functional (physiologic)

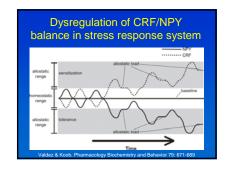
   Second messengers (cell energy systems)
   Lasting hours to days

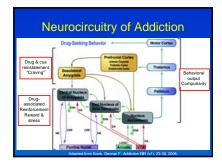
   Structural (gene expression)
- Structural (gene expression
   Change cell protein
   Permanent







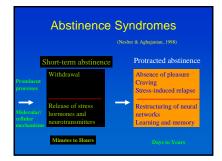


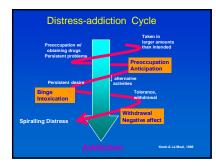




		of Addiction (Nestler & Aghajanian, 1998)
	Acute Drug State	Chronic Drug State
Prominent processes	Reinforcement, Reward	Tolerance Sensitization Dependence
Molecular/ ellular nechanisms	Neurotransmitter release	Receptor adaptations Changes in gene expression and neural structure









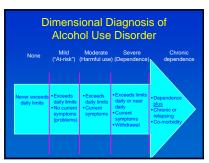
## Definitions





# Definitions

- At-risk (heavy) Drinking: exceeding NIAAA recommended maximum <u>daily</u> limits
  - Men: 5+ drinks in a day – Women: 4+ drinks in a day
- Regular heavy drinking: monthly +
- Alcohol use disorder: regular heavy drinking causing symptoms &/or dysfunction



	of <u>regular</u> heavy adverse outcomes
Requiar heavy drinking Minimum 1x/week Usual 4-7x/week	Brain disease (addiction) Liver disease (fibrosis, cirrhosis) Other adverse Other adverse Health & social

	f <u>episodic</u> heavy dverse outcomes
Episodic heavy drinking Minimum 1x/month Usual 5-12x/month	Cocial dysfunction ("abuse") → Trauma
	Acute illnesses

# Alcohol Dependence Syndrome

- Narrowing of the drinking repertoire
- Salience of drink-seeking behavior
- Increased tolerance to alcohol
- Repeated withdrawal symptoms
   Relief or avoidance of withdrawal symptoms
   by further drinking
- Subjective awareness of compulsion to drink
  Reinstatement after abstinence

# **DSM IV Dependence**

- Common Features:
  - Maladaptive pattern of use
  - Clinically significant impairment or distress
- + 3/7 criteria w/i 12 mo period

## Dependence focus 1: Loss of control (4) • Larger amts or longer time

Persistent desire or unsuccessful attempts to control



# Loss of control (4)

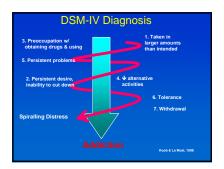
- Great deal of time spent on anticipation/use/recovering • Important alternative activities
- reduced or given up



Adverse consequences (1) Persistent or recurrent physical or psychological problem likely to have been caused or exacerbated by the substance



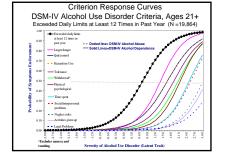


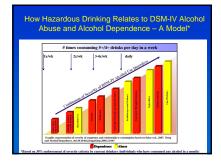


## **DSMIV** Abuse

#### A. Common features +

– Legal – Social C. Never met criteria for dependence





## Progression of dependence

• Early symptoms

Larger/longer
Persistent desire to cut down/quit Drink driving (physically hazardous use)
Heavy drinking 1-3 times per week
3-6 drinks per drinking day average

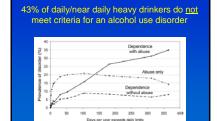
#### **Progression of dependence**

- Moderate symptoms
- Moderate symptoms
   Increasing time spent drinking
   Decreased time spent on other activities
  Use despite psychological/physical
  consequences
   Interpersonal problems
   Heavy drinking 4+ days per week
   5-8 drinks per drinking day

## Progression of dependence

#### Severe symptoms

- Legal problems
  Daily/near daily heavy drinking
  Averaging 12 drinks/drinking day



Daws

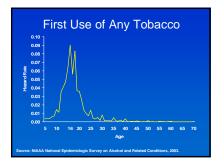
Alcohol Use Disorder Mild Moderate Severe ("At-risk") (Harmful use) (Dependen Chronic dependence None

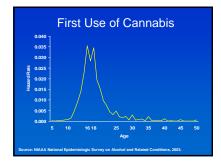
Dimensional Diagnosis of



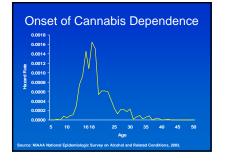
# Initiation of drug use

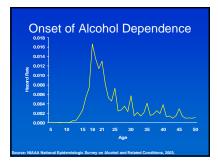
- Ages 12-18Peer groups, siblings • Early use (<15) predicts problems later

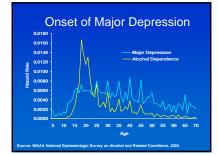


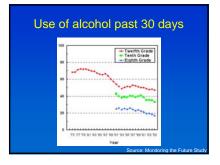


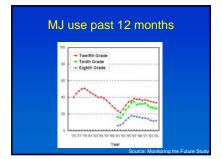




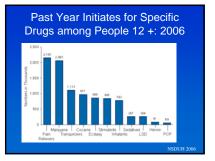


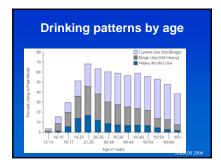




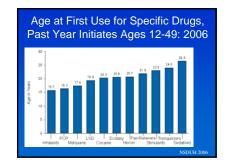


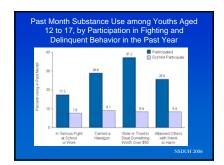
Cigarette use past 30 days
100 + Tweith Grade + Tenth Grade + Eighth Grade
60
·
20
0 20 20 10 10 20 20 20 20 20 20 20 20 20 20 20 20 20
Year
Source: Monitoring the Future S

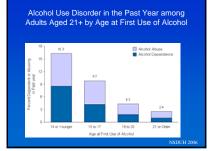


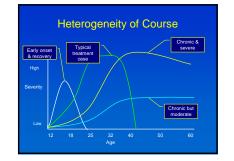


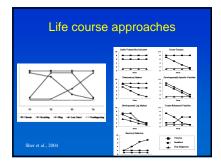




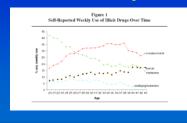








## Life course of drug use





Episodic nature of alcohol use • >70% have <u>one episode only</u>

- Average episode lasts 4 years or less
- Those who have >1 average 5 episodes
- Episodes are of decreasing length

#### Past month use of intoxicants (millions of persons age 12+, US)

- Marijuana: 14.8
- All other drugs: 9.6Psychotherapeutics: 7.0
- Pain relievers: 5.2
  Cocaine: 2.4
- Methamphetamine: 0.7
- Heroin: 0.3
- Oxycontin: 0.3(Alcohol: 140)

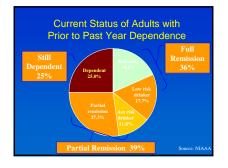
#### 12-Month and Lifetime Prevalence Rates - US

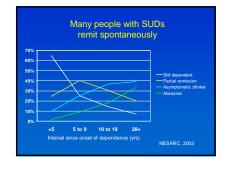
- Alcohol dependence

- Other (non-nicotine) drug dependence

Episodic nature of alcohol use • >70% have <u>one episode only</u>

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Severity predicts disability		
DSM-IV Diagnosis	Mean SF-12 score	
Abuse	49.8	
Dependence diagnosis 3 criteria +	47.3	
4 criteria +	49.3	
5 criteria +	47.4	

43.3

42.3

6 criteria +

7 criteria +

# Subtypes of alcohol

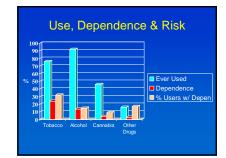
- dependence
- Cluster 1: Young adult
- Cluster 2: FunctionalCluster 3: Intermediate familial
- Cluster 4: Young antisocial
- Cluster 5: Chronic severe

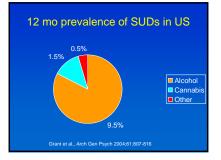
Cluster	%	Age Onset	DSM-IV Criteria	Max # drinks	Sought help (%
1. Young adult	31.5	19.6	3.9	13.8	18.7
2. Functional	19.4	37.0	3.6	10.0	17.0
3. Intermediate familial	18.8	32.0	3.7	9.8	26.9
4. Young antisocial	21.2	15.5	4.7	17.1	34.4
5. Chronic severe	9.2	15.9	5.4	15.4	66.0

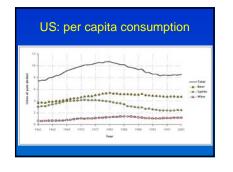
Subtyp	es of	alcoh	iol depei	ndence	
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<ol> <li>Young antisocial</li> </ol>	21.2	10.0	4. <i>1</i>	17.1	54.4
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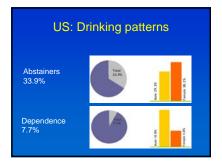
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antisocial		40%	have late	er-onse	>t
5. Chronic severe	9.2	mod	erate for	m wit	<b>6 1</b> 0
0	Moss et al		Chopath	ology	

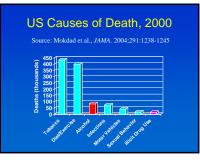
Cluster	%	Age Onset	DSM-IV Critorio	Max #	Sought
1. Young adult	31.5	19.6	1/3 have early onset, severe chronic		
2. Functional	19.4	37.0	dependence		
3. Intermediate familial	18.8	32.0	3.7	9.8	26.9
<ol> <li>Young antisocial</li> </ol>	21.2	15.5	4.7	17.1	34.4
5. Chronic severe	9.2	15.9	5.4	15.4	66.0











## **Risk factors**



# **Risk Factors**

- Gender
- Psychopathology
- Family historyFamily & social environment
- Culture & Ethnicity
- Geographical Region

# Gene-environment interaction Endophenotypes Environment Clinical Phenotype Impulsivity Socially disruptive Deviant peers Older siblings use Heavy drinking Binge use Dependence Access Low cost Lack of alternative Low EtOH sensitivity (FH+) reinforcers

Ger	nder
<ul> <li>Alcohol dependence</li> </ul>	M/F:2.5/1
Illicit drug     dependence	M/F:2/1

# Personality

- Traits
- Disorders - Conduct disorder/ASPD (7X risk)
  - All personality disorders confer added risk (2-4X)

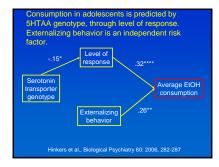
# **Axis I Disorders**

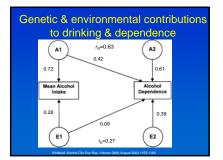
- ADHD
- Bipolar disorder
- Depression
- Schizophrenia
- Social phobia
- PTSD

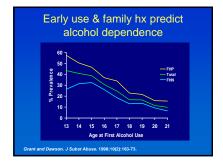
# **Family History**

- Genetics similar to other common complex diseases (heart disease, diabetes, and asthma)
- About 50% genetic, 50% environment
- Environmental parent substance use
- not predictive Low alcohol responsivity predicts for dependence









# Family: Reduced Risk

- Male parent @ home
- Parental involvement
- Religiosity
- Concept of scaffolding

# Social environment

- Deprivation
- Alternative reinforcers
- Access
- Cost

# Ethnicity

- Whites > African Americans
- US Latinos overall comparable
- Lower risk among Chinese Americans
- Native Americans -> high rates of alcohol dependence and abstinence

## Geographical Region (US)

- Highest risk: West
- Lowest risk: South
- Intermediate: Midwest, East

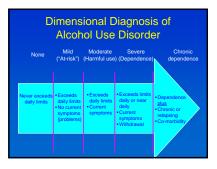




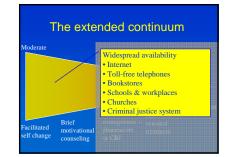
## The problem is daunting

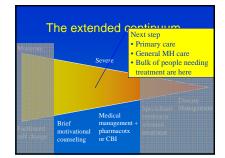






	Extend	ed Cont	inuum	
Heavy drink only	- Harn drinl		ndence	Chronic
	Brief	Medical management +	Specialized remission- oriented	Disease Management
Facilitated self change	motivational counseling	pharmacotx or CBI	treatment	





# The extended continuum



# Brief interventions by physicians are effective

- Average reduction in drinking of 25% after one year
- Very brief (5") intervention is effective in primary care settings
- Equally effective for men and women • Use empathic, non-judgmental approach (e.g. FRAMES)

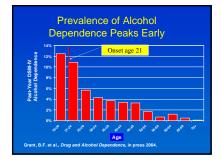














## Treatment

- What is treatment?
- "treatment" or "Treatment!"?
- Keep your eye on the ball (the result is more important than the method to achieve it)
- Monitor a long time

# Specialty treatment

- Social and Behavioral Treatment
- Brief motivational counseling
   Cognitive-Behavioral
   12-Step (MN Model)
   Motivational Interviewing
   Contingency management
- Pharmacological treatment
- Methadone, LAAM, Buprenorphin
   Naltrexone, acamprosatentabuse

# General trends in SUD tx

- Less confrontation, more empathic
- Focus on motivation
- Integrating pharmacotherapy
- Treatment in primary care
- Combining treatments for CD, medical, and psychiatric d/o
- Disease management for chronically ill

#### **Evidence Based Treatments** Alcohol dependence

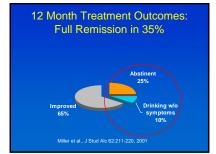
- Pharmacotherapy & brief medical support Specialty counseling (rehab) DP & residential equally effective
- Cannabis
- rily adolescents modal specialty treatment
- Cocaine/Amphet

# **Evidence Based Treatments**

- Heroin/Opiates < 1 year - Specialty counseling + - Naltrexone (monitored or injectible)
- Heroin/Opiates > 1 year
- Maintenance on methadone or buprenorphine Abstinence approaches not effective

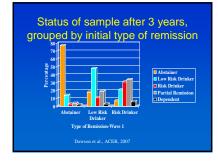
#### Specialty treatment is Effective

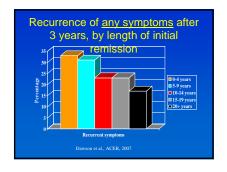
- Studies totalling 8,389 clients seeking treatment for alcohol dependence
- 83% follow-up rate
- One year follow up after single treatment
- Psychosocial treatment ranging from weekly psychotherapy to intensive residential care for 4 weeks

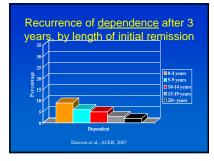




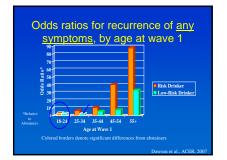


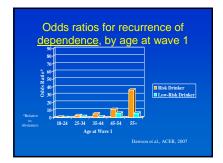


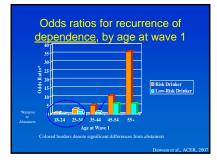












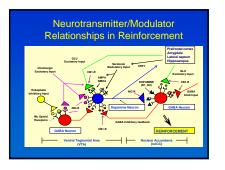
# Notes for interpretation of Dawson et al., ACER, 2007

- This is a community sample, not a treatment population. Thus, these findings <u>do not</u> <u>generalize</u> to treatment seekers.
- Treatment seekers have more severe dependence, more mental and physical comorbidities, and less social support
- Thus, for treatment seekers with dependence, abstinence remains the best advice

# Medication treatment for addiction

- Alcohol dependence
- Disulfiram (Antabuse)
  Naltrexone (oral, injectible monthly)
  Acamprosate (Campral)
  Topiramate (Topamax)
- Heroin, pain killers
- Buprenorphine – Methadone





# Pharmacotherapy for AD: potentially involved neural systems

• Endocannabinoid

• CRF

- Noradrenergic
- Serotinergic
- Opioidergic
   NK-1
- GABA/Glutamate 
   NPY

#### Medications to treat alcohol dependence

- Disulfiram (Antabuse)

  - judgment Must be monitored administration

## Medications to treat alcohol dependence

- Naltrexone (oral generic, Vivitrol for injection)
  - Blocks opioid receptors
     Reduces reward of drinking

- Effective in non-comobil patients
  May be helpful in reducing drinking in those not committed to abstinence
  Evidence for efficacy in schizophrenia

# Medications to treat alcohol

#### dependence • Acamprosate (Campral)

- Acts on GABA-glutamate system Two negative US trials

- Well tolerated, few interactions
   Patient must be fully withdrawn and focused on abstinence

#### Future medication candidates

- Newer antipsychotics

Anticonvulsants

#### Future medication candidates

- · Endocannabinoid antagonistsrimonabant
- CRF antagonists-antalarmin
- NK-1 agonists
- NPY agonists

#### Medications for opioid dependence

- Opioid agonist therapy is the <u>only</u> treatment proven to reduce morbidity and mortality in heroin dependence
- Buprenorphine (Suboxone/Subutex)
- Methadone
- Works best when combined with skillful behavioral treatment (hard to come by)

## Medications for other disorders

- No effective medication treatments currently available for:

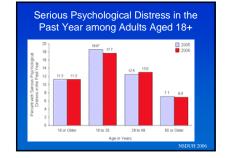
# Summary

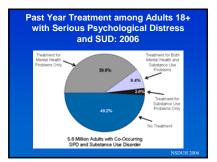
- Intoxicants stimulate the reward centers of the brain, mimicking survival benefit
- The organism adapts to frequent use • Addiction is characterized by
- Dysregulation of the hedonic regulatory system
- Imbalance between the impulsive & reflective brain systems

# Summary

- Most intoxicant use occurs < age 30
- Most users do not develop dependence
- Spontaneous remission is common, but chronicity develops in a significant minority of users
- Genetics account for about 50% of risk
- Treatment effectiveness is similar to that of other common complex diseases

# Co-morbidity and SUDs





Other disorder	Controlled for sociodemographics	+ Controlled for psychopathology
Other drug dependence	18.7*	7.5
Any Mood	3.2	1.7
Any Anxiety	2.7	1.5
Any Personality	3.2	1.8

#### What is the relationship?

- Mental disorders in childhood predict adolescent substance use & AUD
- Mood and anxiety disorders
   "psychological dysregulation" (Clark, 2005)
   "behavioral undercontrol" (Sher, 2007)

## **Complex interaction**

- Having more than one disorder makes it more difficult to recover from either
  No simple "self-treatment" paradigm
- Unlikely that mental disorders, in contrast to psychological symptoms, result from alcohol dependence
- People with multiple disorders more likely to present for treatment & have difficulty recovering

#### Common & specific genetic factors for alcohol dependence

- Common genetic factors (.35)

- Specific genetic factors (.14)
- Specific environmental factors (.36)

#### National Epidemiologic Survey on Alcohol and Related Conditions 2001 - 2002



N= 43,093.
Nationally-representative survey.
Response rate: 81%.
Oversampling of Blacks, Hispanics/Latinos, young adults. adults. Longitudinal: second wave fielded July 2004. DSM-IV based diagnoses of substance use, mood, anxi and personality disorders.

Co-Occurrence of Current (12-month) DSM-IV Alcohol Dependence and Other Disorders, Controlled for Sociodemographics and Other Disorders				
Disorder	Alcohol Dependence			
Any Drug Disorder	5.0 x			
Any Mood Disorder	1.7 x			
Any Anxiety Disorder	1.5 x			
Any Personality D/O	1.8 x			

Hasin et al., Arch Gen Psychiatry, 2007

Co-Occurrence of Current (12-month) DSM-IV Alcohol Dependence and Other Disorders, Controlled for Sociodemographics and Other Disorders			
Disorder	Sociodemo only	Sociodemo & Other d/o	
Drug Dependence	18.7	7.5 x	
Any Mood Disorder	3.2	1.7 x	
Any Anxiety Disorder	2.7	1.5 x	
Any Personality D/O	3.2	1.8 x	

lasin et al., Arch Gen Psychiatry, 2007

	1991-1992	2001-2002
Alcohol Abuse	4.4%	3.1%
Alcohol Dependence	13.8%	12.1%
Any Drug Abuse	4.1%	6.1%
Any Drug Dependence	19.5%	30.7%

	in the General Pop or These Disorders	
# of Other Psychiatric Disorders	General Population	Treatment
0	40.9%	21.3%
	26.8%	18.7%
	14.2%	17.9%
3	7.2%	11.3%
4-5	6.7%	17.0%
6+	4.2%	13.7%

		eneral Population ar orders - Past 12 Mor
# of Other Psychiatric Disorders	General Population	Treatment
	14.5%	4.9%
	21.7%	14.2%
2	22.2%	14.5%
3	12.2%	15.9%
4-5	16.6%	27.4%
6+	12.9%	23.1%

Disorder	Alcohol Dependence
Bipolar disorder	2.0 x
Histrionic/ASPD	1.8 x

	, poyonoc	is and E	
	SczAff-BP	BPAD	Scz
Alcohol*	53%	60%	31%
Other drug*	74%	68%	36%
FHx	66%	79%	33%

Days of medication use					
	SczAff-BP	BPAD	Scz		
Antidepr*	815	714	158		
Mood Stab	1098	1460	388		
Antipsych*	927	862	1655		
*p<0.05 Five-year retrospective study Nardi et al., J Affect Dis 2005					

# Medications: what doesn't reduce drinking in co-morbid patients?

- Antidepressants
- Lithium
- Traditional antipsychotics
- Benzodiazepines

# What does reduce drinking?

- Divalproate in bipolar/AD
- Naltrexone in schizophrenia
- Clozapine in schizophrenia (probable)

